

**FORM - 3A**

(Read with Regulation 10)

Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD

Registration Number : 128

Statement as on : 30-09-2019

Statement of Investment Assets (Life Insurers)

(Business within India)

Periodicity of Submission : Quarterly

PART - A

Rs. in Crore

**Section I**

Investments (Shareholders)	Sch-8	562.82
Investments (Policyholders)	Sch-8A	3,457.60
Investments (Linked Liabilities)	Sch-8B	512.33
Loans	Sch-9	32.78
Fixed Assets	Sch-10	61.10
Current Assets		
a. Cash & Bank Balance	Sch-11	75.71
b. Advances & Other Assets	Sch-12	285.15
Current Liabilities		
a. Current Liabilities	Sch-13	233.78
b. Provisions	Sch-14	20.94
c. Misc. Exp not Written Off	Sch-15	0.00
d. Debit Balance of P&L A/c		0.00
Application of Funds as per Balance Sheet (A)		<u>4,732.77</u>
Less: Other Assets		
Loans (if any)	Sch-9	32.78
Fixed Assets (if any)	Sch-10	61.10
Cash & Bank Balance (if any)	Sch-11	75.71
Advances & Other Assets (if any)	Sch-12	285.15
Current Liabilities	Sch-13	233.78
Provisions	Sch-14	20.94
Misc. Exp not Written Off	Sch-15	0.00
Investments held outside India		0.00
Debit Balance of P&L A/c		0.00
	<b>TOTAL (B)</b>	<u>200.02</u>
Investment Assets	<b>(A-B)</b>	<u>4,532.75</u>

**Reconciliation of Investment Assets**

<b>Total Investment Assets (as per Balance Sheet)</b>	<u><b>4,532.75</b></u>
<b>Balance Sheet Value of:</b>	
A. Life Fund	3,520.06
B. Pension & General Annuity and Group Business	500.35
C. Unit Linked Funds	512.33
	<u><b>4,532.75</b></u>

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## Section II

**NON - LINKED BUSINESS**

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH) (f)=[a+b+c+d+e]	Actual % (g) = [(f) - (a)]%	FVC Amount (h)	Total Fund (i)=(f+h)	Market Value (j)
		Balance	FRSM+	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)					
1. Central Govt. Sec	NOT LESS THAN 25%	0.00	30.07	2.52	541.17	523.33	1,097.09	33.51	0.00	1,097.09	1,142.16
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 50%	0.00	126.58	10.64	758.40	751.66	1,647.28	50.31	0.00	1,647.28	1,721.65
3. Investments Subject to Exposure Norms		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
a. Infrastructure/ Social/ Housing Sector		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
1. Approved Investments	NOT LESS THAN 15%	0.00	38.29	4.19	376.67	446.54	865.69	26.44	0.00	865.69	896.16
2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
b. (i) Approved Investments	NOT EXCEEDING 35%	152.69	86.63	5.12	309.98	259.84	814.26	20.21	34.07	848.33	853.92
(ii)"Other Investments" not to exceed 15%		62.45	82.97	0.02	13.46	3.18	162.09	3.04	-3.32	158.76	157.43
TOTAL LIFE FUND	100%	215.14	334.47	19.98	1,458.51	1,461.22	3,489.32	100.00	30.74	3,520.06	3,629.16

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS	% as per Reg	PH		Book Value (c)=(a+b)	Actual % (d)	FVC Amount (e)	Total Fund (f)=(c+e)	Market Value (g)
		PAR	NON PAR					
		(a)	(b)					
1. Central Govt. Sec	NOT LESS THAN 20%	0.00	135.37	135.37	27.43	0.00	135.37	140.71
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 40%	0.00	215.63	215.63	43.69	0.00	215.63	225.60
3. Balance in Approved Investment	NOT MORE THAN 60%	0.00	277.88	277.88	56.31	6.84	284.72	289.00
TOTAL PENSION AND GENERAL ANNUITY FUND	100%	0.00	493.51	493.51	100.00	6.84	500.35	514.59

**LINKED BUSINESS**

C. LINKED FUNDS	% as per Reg	PH		Total Fund (c)=(a+b)	Actual % (d)
		PAR	NON PAR		
		(a)	(b)		
1. Approved Investments	NOT LESS THAN 75%	0.00	505.51	505.51	98.67
2. Other than Approved Investment	NOT MORE THAN 25%	0.00	6.82	6.82	1.33
TOTAL LINKED INSURANCE FUND	100%	0.00	512.33	512.33	100.00

**CERTIFICATION:**

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 03-10-2019

Signature : 

Full Name : MR.G.VAIDYANATHAN

Authorised Signatory

- Note:**
1. (+) FRSM refers to 'Funds representing Solvency Margin'
  2. Funds beyond Solvency Margin shall have a separate Custody Account.
  3. Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
  4. Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
  5. Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account

FORM 3A PART A FOOTNOTE

